

| BCP Audit and Governance Committee Action Tracker |                                                              |                                                                                                                                                                                                                                           |                                  |                                                                                                                             |
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| Minute number                                     | Item                                                         | Action<br>Items remain until action completed.                                                                                                                                                                                            | Who                              | Outcome                                                                                                                     |
| Meeting date: 16 October 2025 (core meeting)      |                                                              |                                                                                                                                                                                                                                           |                                  |                                                                                                                             |
| 44                                                | Action Sheet                                                 | Add the following action arising from the minutes of 24 July 2025: Update committee on the review by leadership team of the function of IG Information Governance within BCP Council.                                                     | Democratic Services              | Added at 21 below                                                                                                           |
| 47                                                | Treasury Management Monitoring update for Quarter 2, 2025/26 | Provide breakdown of debt in diagrammatic/chart form in future reports. Chair to collate suggestions from committee and discuss with Assistant Chief Financial Officer (CFO) a resource appropriate way of presenting.                    | Chair/Assistant CFO              | Under consideration for a future Cabinet finance report                                                                     |
|                                                   |                                                              | Consider whether further letters to MPs and the Government are required, including the offer of support from the Audit and Governance Committee, as part of management reflection on the current position in relation to the DSG and SEND | CFO                              | Ongoing consideration as part of 2026/27 Budget process and regular finance reports to Cabinet.                             |
| 49                                                | Risk Management - Corporate Risk Register Update             | Review target risk scores shown as red and provide further explanation on why risk is being tolerated/accepted or treated                                                                                                                 | Risk and Insurance (R&I) Manager | Complete - factored into the quarterly review process and will be presented to A&G at the next core meeting in January 2026 |
|                                                   |                                                              | Liaise with Chair of the Children's Services O&S Committee on arrangements for how DSG, high needs block and Corporate Risk CR02 are being monitored (possible forward plan item)                                                         | Chair                            |                                                                                                                             |

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| <b>Minute number</b>                                     | <b>Item</b>                                                     | <b>Action</b><br>Items remain until action completed.                                                                                                                        | <b>Who</b>                                     | <b>Outcome</b>                                                                                                              |
|                                                          |                                                                 | CR27 - seek further information from the Risk Owner and team on any available measures to secure funding                                                                     | R&I Manager                                    | Complete - factored into the quarterly review process and will be presented to A&G at the next core meeting in January 2026 |
|                                                          |                                                                 | CR28 - discuss timeframes for new BCP Local Plan with the Director of Planning & Transport                                                                                   | R&I Manager                                    | Complete - factored into the quarterly review process and will be presented to A&G at the next core meeting in January 2026 |
|                                                          |                                                                 | Confirm which corporate risk covers asylum hotel protests                                                                                                                    | R&I Manager                                    | Complete - factored into the quarterly review process and will be presented to A&G at the next core meeting in January 2026 |
|                                                          |                                                                 | CR16 – liaise with Risk Owner to provide further information on progress of how risk being treated, including capacity assessment due to be undertaken in December 2025.     | Chief Executive – delegated to the R&I manager | Complete - factored into the quarterly review process and will be presented to A&G at the next core meeting in January 2026 |
| <b>50</b>                                                | <b>Health and Safety Update</b>                                 | Consider how the suggested pyramid approach to accident data could be incorporated into the next report.                                                                     | Cllr M Tarling /Health and Safety Manager      |                                                                                                                             |
| <b>51</b>                                                | <b>Emergency planning and business continuity annual report</b> | Share with Chair the outcomes of South West Regional Cyber Crime Unit exercise to test Council's cyber response plan and related business continuity arrangements on 9/12/25 | Emergency Planning and Resilience Manager      |                                                                                                                             |
| <b>52</b>                                                | <b>Internal Audit – Quarterly Audit Plan Update</b>             | Further explanation and assurance requested from the Service/Corporate Director for recommendations with the original target date in 2024, to be included in next report.    | Deputy Chief Internal Auditor (RH)             | Complete - to be factored into next Internal Audit quarterly report to A&G at core meeting in January 2026                  |

| BCP Audit and Governance Committee Action Tracker                                                                        |                                                                                         |                                                                                                                                                                                |                                                                                                                                                      |                                                                                                                                                                                                                                                                 |
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| Minute number                                                                                                            | Item                                                                                    | Action<br>Items remain until action completed.                                                                                                                                 | Who                                                                                                                                                  | Outcome                                                                                                                                                                                                                                                         |
| 53                                                                                                                       | Annual Report of Internal Audit Counter Fraud Work and Whistleblowing Referrals 2024/25 | Provide further information in relation to 6% of the CIPFA assessment score not achieved and to consider whether a form of external verification was possible for future years | Deputy Chief Internal Auditor (SM)                                                                                                                   | Complete - to be factored into next Internal Audit quarterly report to A&G at core meeting in January 2026                                                                                                                                                      |
| Meeting date: 24 September 2025 (Additional meeting) PART A – BCP FuturePlaces Investigation Report (Scope Items 1 to 4) |                                                                                         |                                                                                                                                                                                |                                                                                                                                                      |                                                                                                                                                                                                                                                                 |
| 39                                                                                                                       | Scope 1. Timeline and key decisions                                                     | 1.1 Add Monitoring Officer's report to end of timeline to demonstrate action which had been taken by Committee.                                                                | Head of Audit and Management Assurance (HAMA)*<br><br>*NOTE: In some cases where HAMA is listed, a more specialist officer may be required to action | Complete – shown on page 14 (of 190) on the report                                                                                                                                                                                                              |
|                                                                                                                          | Scope 2. Decision to create BCP Future Places Ltd – Cabinet 26 May 2021                 | 2.1 Confirm whether due diligence was undertaken in the appointment of Inner Circle Consulting.                                                                                | HAMA                                                                                                                                                 | A detailed fee proposal document was produced by Inner Circle for the Council to consider. This covered the initial consultancy work (£37,150) which contributed to the Cabinet report 26 May 2021, which led to the decision to progress with the URC concept. |

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|                                                   |                                                                       | 2.2 Investigate procurement process for Inner Circle Consulting, including whether it involved one or two separate procurements.                    | HAMA                          | There were two separate procurements. The second procurement was originally valued at £165,885 and was formally revised to £463,811 as project gateways were successfully completed.                                                                                                            |
|                                                   |                                                                       | 2.2 Verify discrepancy between £2 billion and £3 billion cited in different meetings, including checking transcripts and impact on decision-making. | HAMA                          | Unclear why there were two figures quoted. There may be an explanation in that the original £2Bn quoted was linked to 14 schemes originally planned which grew to 19 schemes by the time the Council produced the commissioning plan which FPL relied upon to create their first business plan. |
|                                                   | <b>Scope 3. Establishment and operation of BCP Future Places Ltd.</b> | 3.1 Consider how it would be possible to investigate the legality and appropriateness of recruitment process for MD and senior officers.            | HAMA /MO                      |                                                                                                                                                                                                                                                                                                 |
|                                                   |                                                                       | 3.1 Invite former FuturePlaces officers to respond to targeted questions following conclusion of consideration of the HAMA's full report.           | Chair on behalf of committee? |                                                                                                                                                                                                                                                                                                 |
|                                                   |                                                                       | 3.1 Clarify how consultancy rates and salaries were determined, including any market comparisons or procurement procedures.                         | HAMA                          | Consultancy rates and salaries were determined by professional judgement of officers of the Council, comparing with similar such consultancies across the Council.                                                                                                                              |

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|                                                   |      | 3.1 Consider questions sent from Committee Members.                                                                                                                                              | Chair     |                                                                                                                                                  |
|                                                   |      | 3.2 Add to enquiry list a request for Future Places' Directors perspective on absence of commissioning contract and resource agreements.                                                         | Chair     |                                                                                                                                                  |
|                                                   |      | 3.2 Clarify governance terminology in future documents to specify whether decisions lie with Cabinet, full Council, or shareholder representatives.                                              | HAMA / MO | An agenda item for a future Shareholder Advisory Board decision. The Shareholder Operations Board will firstly discuss and formulate a proposal. |
|                                                   |      | 3.3 Consider recommendation regarding a clear policy framework for Teckal companies regarding whether Council policies should be fully adopted or selectively applied.                           | HAMA / MO | An agenda item for a future Shareholder Advisory Board decision. The Shareholder Operations Board will firstly discuss and formulate a proposal. |
|                                                   |      | 3.4/3.5/3.6 FPL Directors to be asked about items highlighted within this section, including relationship set up and expectations, provision of monthly management accounts and 'mission creep'. | Chair     |                                                                                                                                                  |

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|                                                   |                                                | 3.4/3.5/3.6 Recommendation to be considered regarding use and implications of the term 'draft' and the need to ensure progress could be monitored and scrutinised as appropriate.               | HAMA / MO                          | An agenda item for a future Shareholder Advisory Board decision. The Shareholder Operations Board will firstly discuss and formulate a proposal. The proposal may be along the lines of, including in the shareholder agreement, 'shareholder will have access to all draft reports should they be requested'. |
| <b>Meeting Date: 24 July 2025 (core meeting)</b>  |                                                |                                                                                                                                                                                                 |                                    |                                                                                                                                                                                                                                                                                                                |
| 18                                                | Public Issues                                  | Create landing page on Council website for interim and final reports on BCP Future Places investigation, including report appendices and all Freedom of information (FOI) information received. | HAMA                               | To be created after meeting on 3 December 2025 (or meeting that is considered final)                                                                                                                                                                                                                           |
| 19                                                | Carters Quay update*<br>*see also Forward Plan | Add areas of focus raised during discussion to the list of relevant considerations in paragraph 7 of report and circulate revised list to committee members within two months                   | Director, Investment & Development | Circulated 7/10/25                                                                                                                                                                                                                                                                                             |
|                                                   |                                                | Include revised list with information requested in next report to committee                                                                                                                     | Director, Investment & Development | Will be included and responses provided within next report, timings subject to confirmation by Chair                                                                                                                                                                                                           |

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| <b>21</b>                                                | <b>Information Governance</b>                                                 | Update committee on the review by leadership team of the function of IG Information Governance within BCP Council.                                                  | tbc                                       |                                                                     |
| <b>22</b>                                                | <b>Treasury Management</b>                                                    | Arrange date for Treasury training session for committee members, intended to be delivered by external consultants who support Council with its Treasury management | Assistant CFO, in consultation with Chair | Training session arranged on MS Teams at 6.00pm on 20 November 2025 |
| <b>24</b>                                                | <b>Risk Management – corporate risk register update</b>                       | CR20 – check with riskowner on how risk is communicated to public and circulate response                                                                            | R&I Manager                               | Update awaited from Risk Owner.                                     |
| <b>32</b>                                                | <b>Annual Governance Statement and Annual review of Local Code of Conduct</b> | Invite Chair of Standards Committee to future meeting to appraise on councillors training                                                                           | Monitoring Officer (MO)                   | Include on agenda for 26 February 2026 committee                    |
| <b>33</b>                                                | <b>Forward Plan</b>                                                           | Agree which committee date to schedule Carters Quay report in Quarter 4 and advise committee members                                                                | Chair and Vice Chair                      | To be agreed                                                        |
|                                                          |                                                                               | Liaise with Chair on scheduling of Ombudsman reports                                                                                                                | MO                                        | In progress                                                         |